

# Pilot 3: Population Information Integration, Analysis and Modeling

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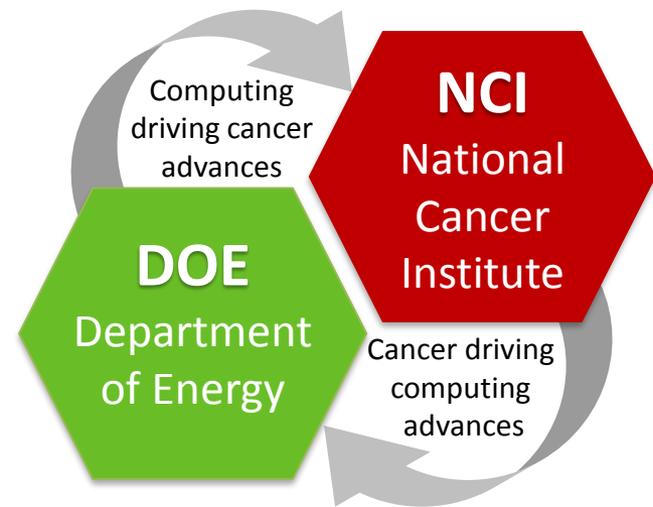
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October 18, 2017

**Presented at:**

**Frontiers of Predictive Oncology 2017**

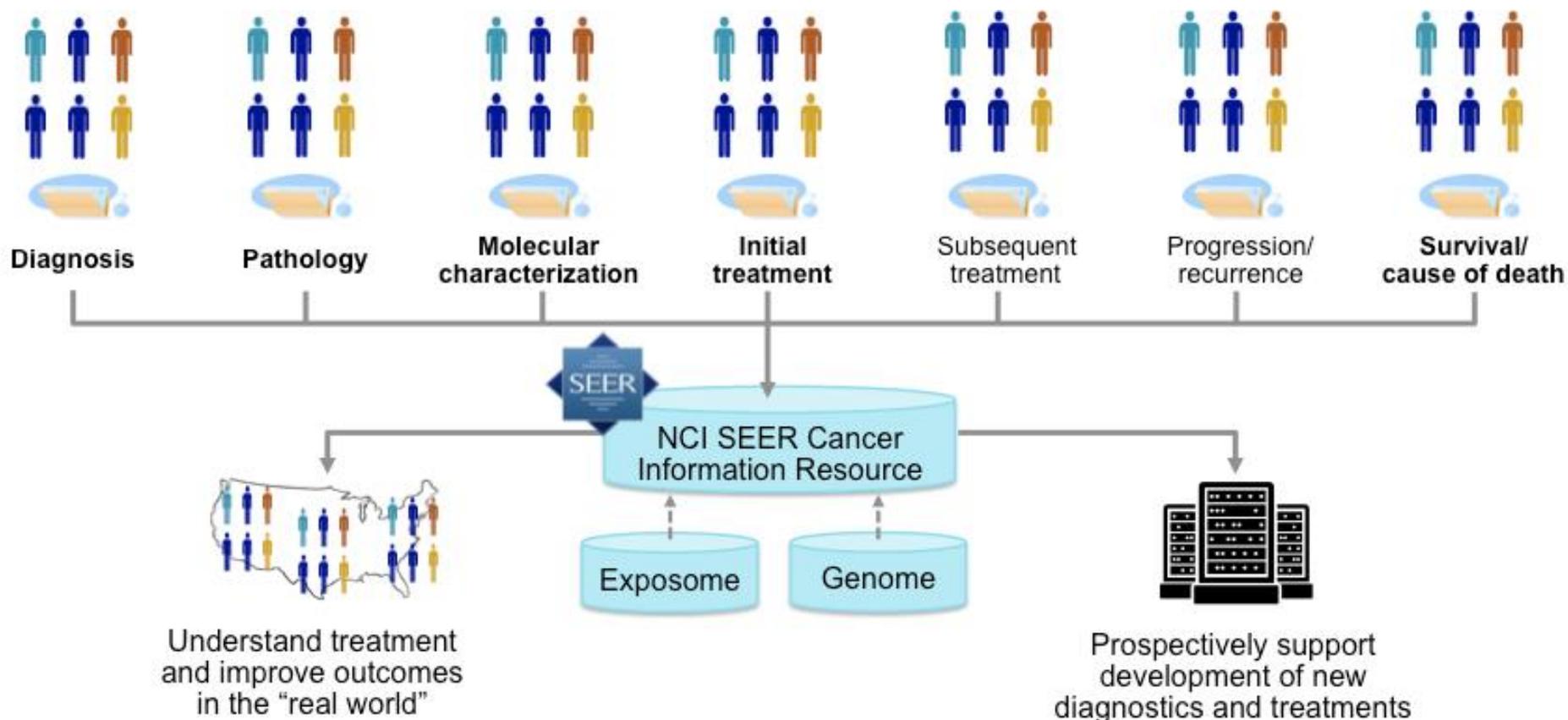


U.S. DEPARTMENT OF  
**ENERGY**

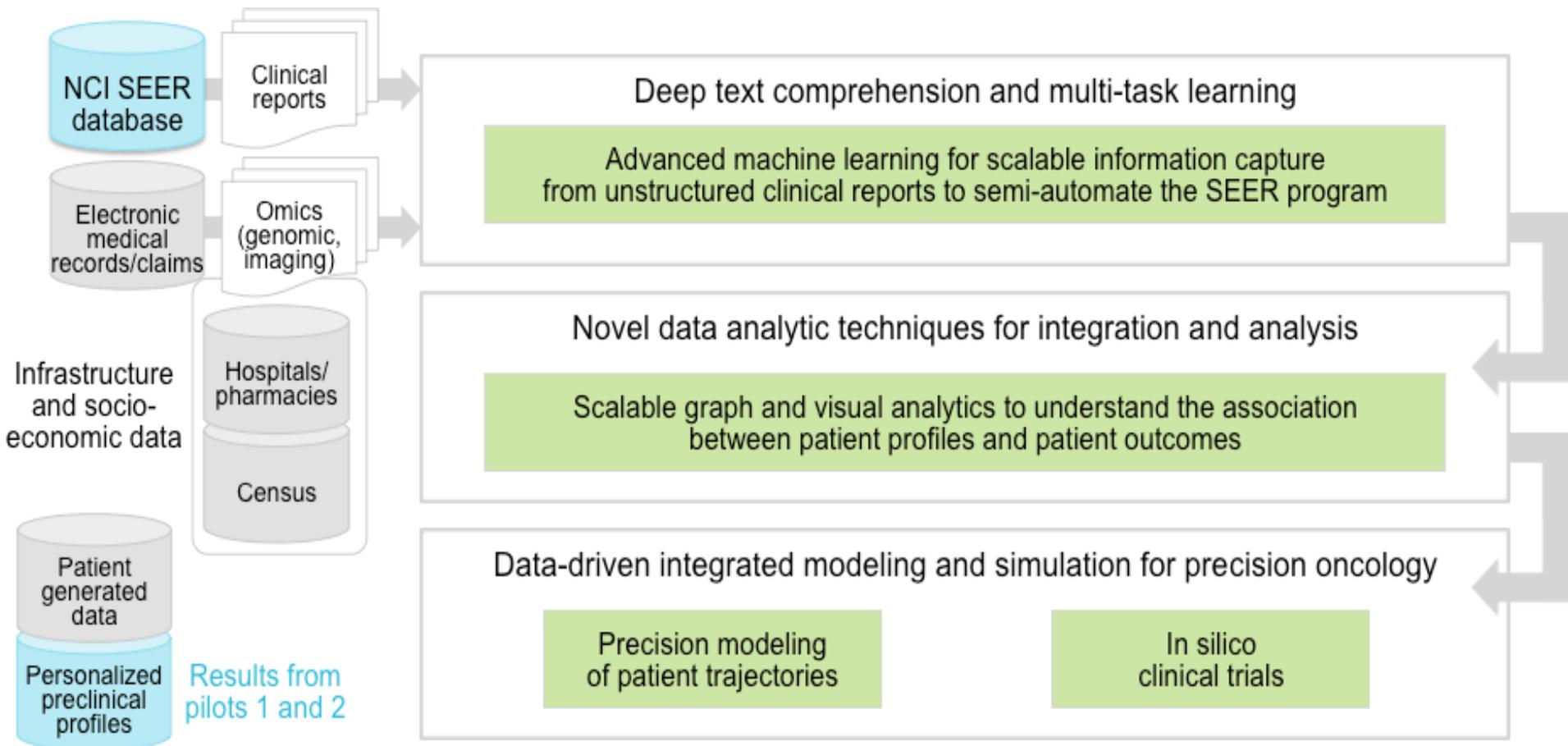


**NATIONAL CANCER INSTITUTE**

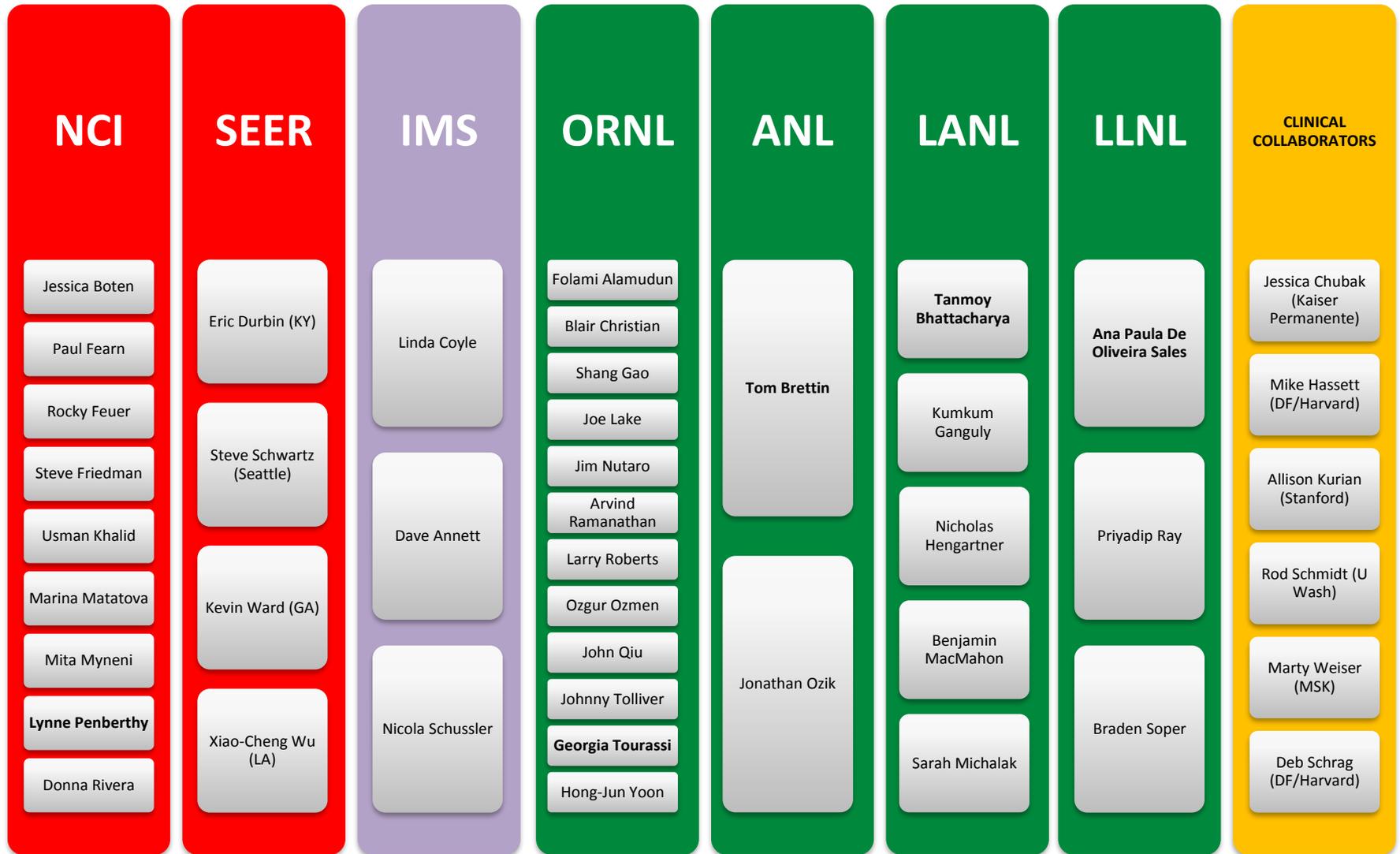
# Improve the effectiveness of cancer treatment in the “real world” through automation: Surveillance Perspective



# Pilot 3: Aims and Technical Overview



# Multi-disciplinary DOE-NCI team w/ clinical & industry partners



# Update – Aim 1: Data access and Annotation Pipeline

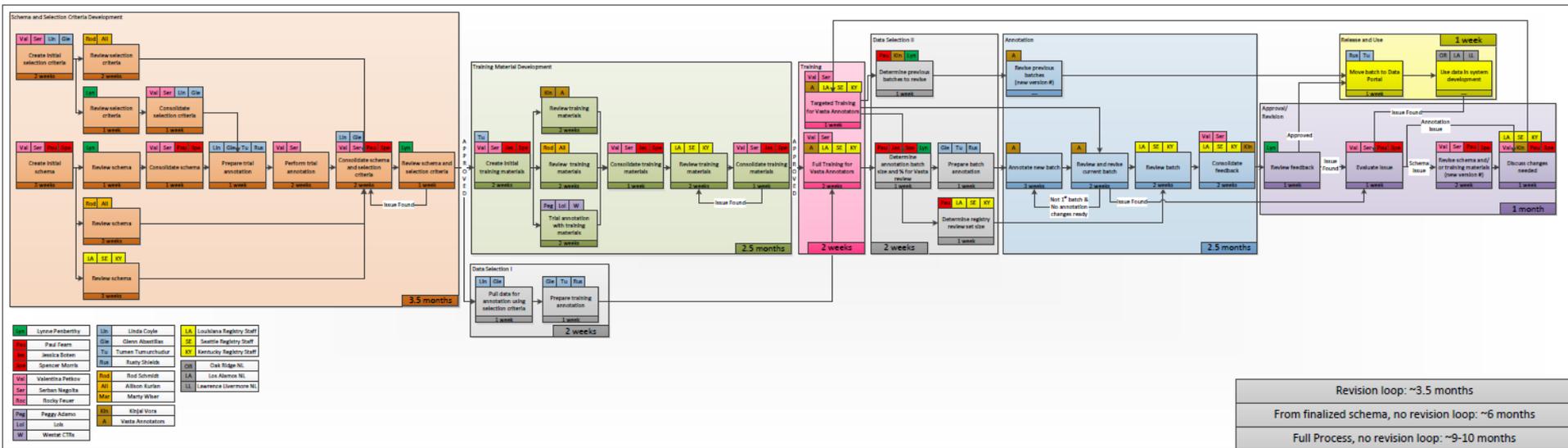
- Access to Louisiana registry data
  - ❖ 105,523 patients
  - ❖ 110,941 cancer diagnoses
  - ❖ 256,816 path reports associated with those diagnoses
- 3 registries have received IRB approval: LA, Seattle, KY; pending: GA
- 1,800 pathology reports annotated for ALK, EGFR by Vasta
- Schema for breast cancer biomarkers and recurrence being finalized (HER2, ER, PR, Neu, distant recurrence)
  - ❖ Use cases for breast recurrence developed and in pipeline
- NCI Investment for annotation pipeline
  - Enhancements for LabKey
  - Scaling up of Annotation services (Vasta)

# Clinical Document Annotation Pipeline

- Infrastructure to support annotation of unstructured text documents for testing and validation of NLP algorithms
- Represents a critical platform for NLP- large volumes of gold standard annotated data are essential
- Infrastructure will be available to all Federal agencies and their partners for use in annotation for testing of algorithms



# Complex Annotation Workflow



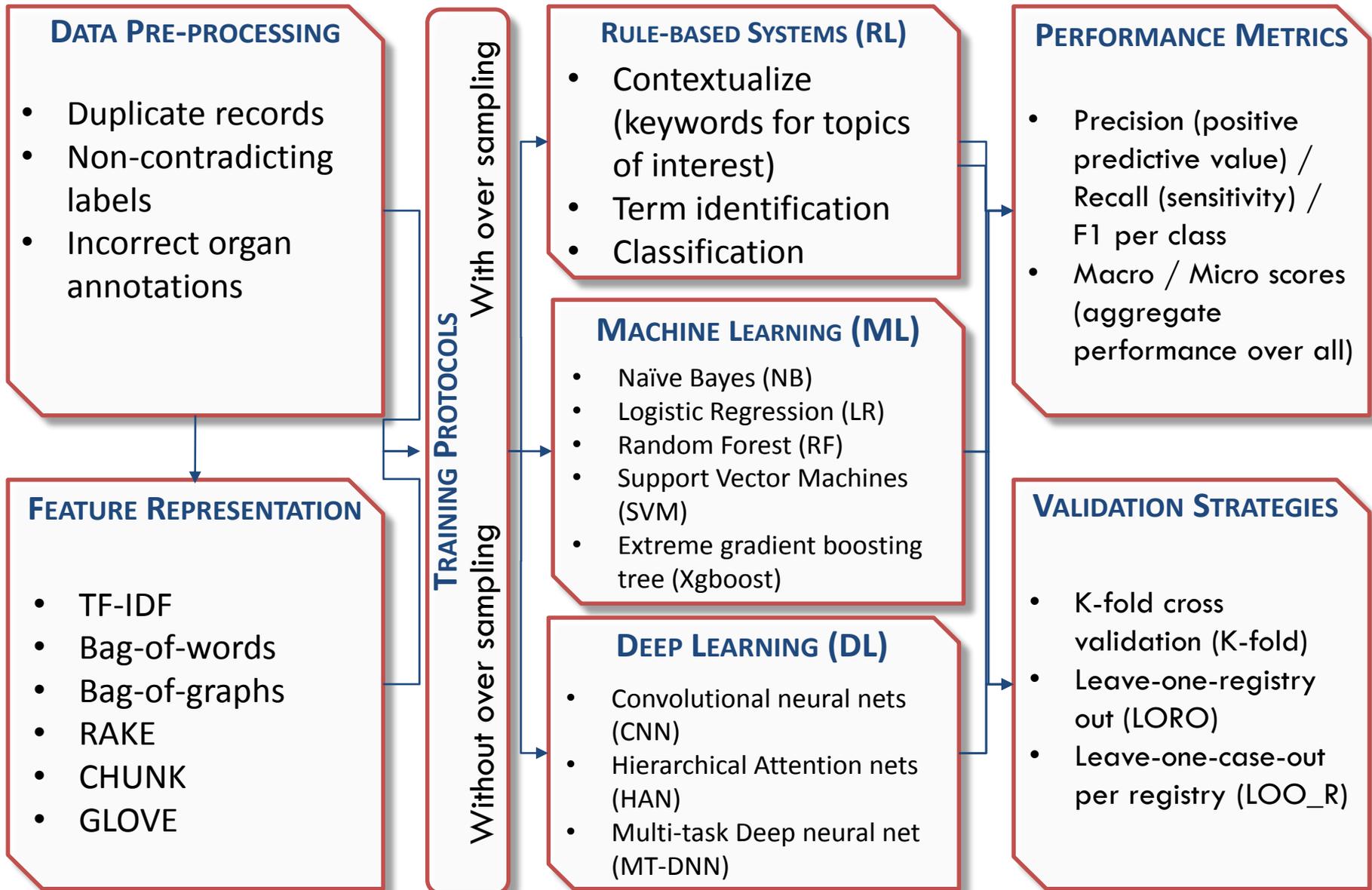
From Spencer Morris

# Update – Aim 1: **NLP tools**

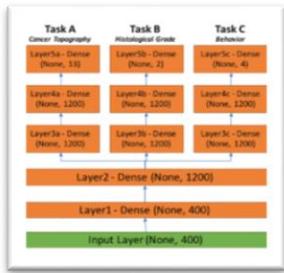
**USE CASE 1: Limited dataset of annotated breast and lung cancer pathology reports from 5 different US states**

**USE CASE 2: Large dataset of pathology reports from Louisiana Cancer Registry**

# Experimental Pipeline

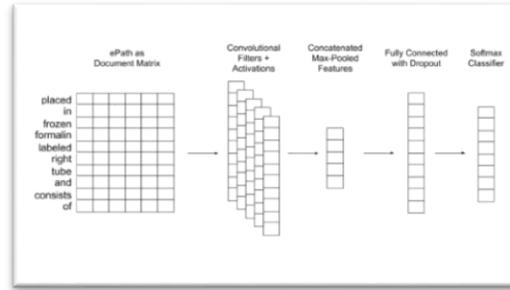


# Preliminary Investigation on the limited dataset



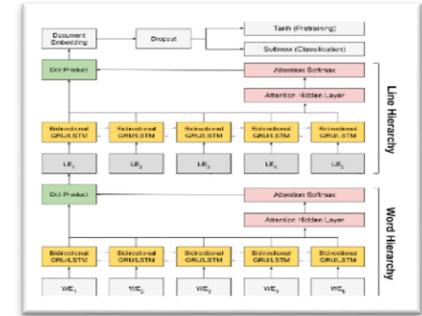
Multi-task Learning Deep Neural Network

"Multi-task Deep Neural Networks for Automated Extraction of Primary Site and Laterality Information from Cancer Pathology Reports." In INNS Conference on Big Data [ 2016]



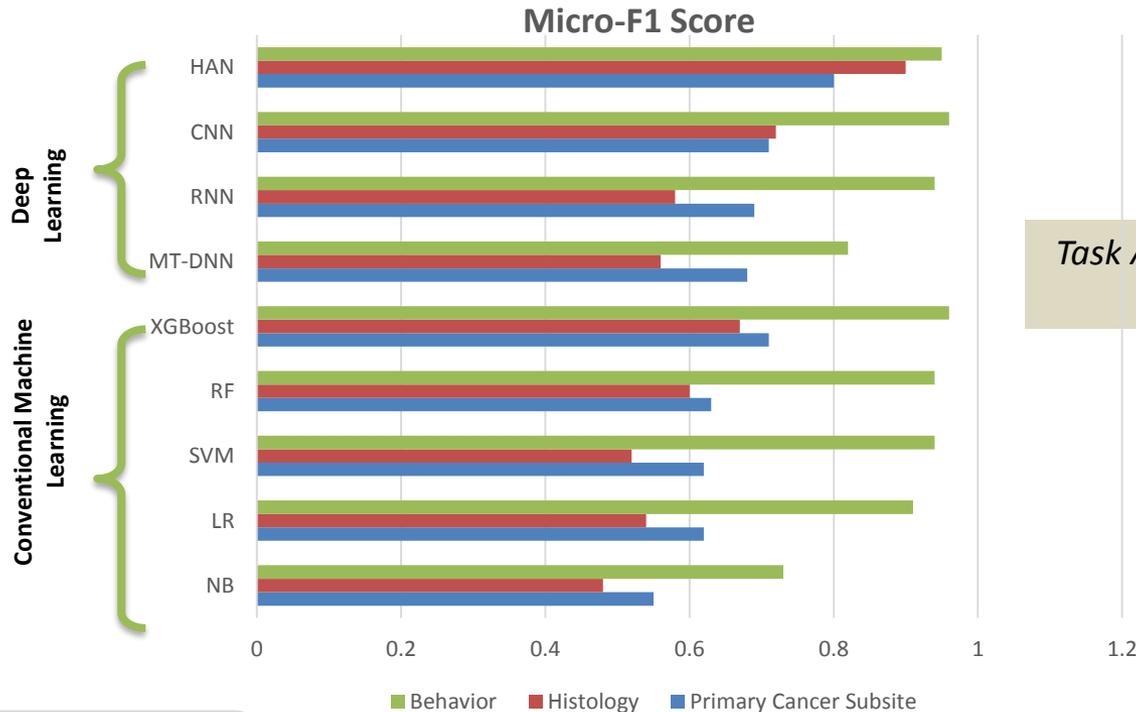
Convolutional Neural Network

"Deep Learning for Automated Extraction of Primary Sites from Cancer Pathology Reports," IEEE Journal of Biomedical and Health Informatics [2017]



Hierarchical Attention Network

"Hierarchical Attention Networks for Information Extraction from Cancer Pathology Reports," Journal of American Medical Informatics Association [2017]



Task Accuracy Performance

# Interpretability

## CNN

clinicalhistory  
lung mass with brain : mets .  
finaldiagnosis  
transbronchial  
diagnosiscomment  
immunohistochemical  
grossdescription  
specimen : soft tan tissue .  
number of segments : 3 .  
size : up to floatoken cm .  
submitted for microscopic evaluation : all .  
cassettes : 1 .

name zzz yyy xxx ascp  
cytotechnologist  
electronically signed datetoken 07 : 24 am  
name www m. vvv md  
pathologist  
electronically signed datetoken 03 : 57 pm  
gross description : 50mi cloudy red fluid in cytolyt presen  
monolayer prep one cell block  
specimen : a bronchial washings  
specimen adequacy :  
satisfactory for cytologic evaluation .

CNNs associate context with importance based on how often words occur in its neighborhood. Moving along a row, these words may not always capture the required clinical context.

## HAN

line 1 clinical information : birads 5 .  
line 2 case : path number  
line 3 patient : name aaa bbb  
line 4 diagnosis  
line 5 a . left breast ; core needle biopsy at two o'clock 11 cm from nipple :  
line 6 positive for invasive adenocarcinoma

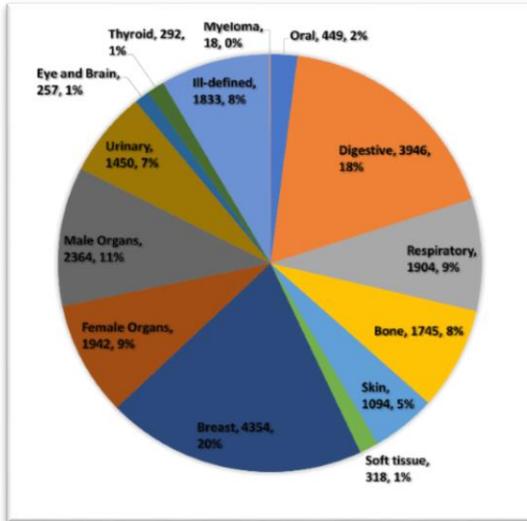
line 7 name zzz yyy xxx ascp  
line 8 cytotechnologist  
line 9 electronically signed datetoken 08 : 24 am  
line 10 name www m. vvv md  
line 11 pathologist  
line 12 electronically signed datetoken 10 : 38 am  
line 13 gross description : 3 smears in 95 etoh  
line 14 specimen : a right mainstem  
line 15 specimen adequacy :  
line 16 satisfactory for cytologic evaluation .

HANs interpret context based on most important words in a sentence → sentences → document. Neighboring words/sentences provide overall importance.

# *Initial observations with the Louisiana registry data*

- 256,816 e-paths total
  - Preliminary experiments with ~5-10% of the path reports
- CNNs for 5 NLP tasks using 10-fold CV and hyper-parameter optimization
  - Primary cancer site
  - Laterality
  - Histology
  - Behavior
  - Grade
- Comparison w/ best performing shallow machine learning

# Preliminary results with the LA registry data and Convolutional Neural Network



Subsite Support Size vs. Accuracy

Site	Support	Prec	Recall	F-score	Site	Support	Prec	Recall	F-score
Breast NOS	4068	0.843	0.972	0.903	Urethra	12	0.000	0.000	0.000
Prostate NOS	2301	0.971	0.988	0.979	Sinus NOS	11	0.000	0.000	0.000
Bone Marrow	1422	0.804	0.923	0.859	Maxillary Sinus	11	1.000	0.182	0.308
Lung NOS	1057	0.599	0.737	0.661	Fundus Uteri	11	1.000	0.364	0.533
Ill-defined NOS	945	0.363	0.551	0.437	Wall of bladder	11	0.000	0.000	0.000
Bladder NOS	871	0.879	0.948	0.912	Spinal Cord	11	0.000	0.000	0.000
Endometrium	805	0.703	0.909	0.793	Pituitary Gland	11	0.000	0.000	0.000
Colon NOS	766	0.582	0.551	0.566	Salivary Gland	10	0.000	0.000	0.000
Rectum NOS	641	0.678	0.861	0.759	Skin of Lip	10	0.000	0.000	0.000
Kidney NOS	443	0.835	0.937	0.883	Bladder Neck	10	0.000	0.000	0.000

256,816 e-paths total

26,360 annotated for cancer subsite with >10 cases/subsite

20% reserved for final validation

**21,966 cases used for CV**

**135 classes present**

Experiment: 10-fold CV with CNN

# of trainable CNN parameters: 5,483,835

**Micro-F1 = 0.71**

$\theta$	$CNN_{output} \geq \theta$			$CNN_{output} < \theta$		
	Support	TP	Accuracy	Support	TP	Accuracy
0	21966	15782	0.718			
0.2	21220	15674	0.739	746	108	0.145
0.4	19557	15232	0.779	2409	550	0.228
0.6	17572	14459	0.823	4394	1323	0.301
0.8	15627	13434	0.860	6339	2348	0.370
0.9	14276	12612	0.883	7690	3170	0.412
0.95	13210	11898	0.901	8756	3884	0.444
0.99	11143	10364	0.930	10823	5418	0.501
0.99999	4378	4299	0.982	17588	11483	0.653

# Primary Cancer Site

Name	ICD-O-3 codes	# cases
Bladder	C67	947
Breast	C50	4,414
Colorectal	C18, C19, C20, C21	2,788
Endometrial	C53, C54, C55, C56, C57, C58	1,899
Kidney	C64	458
Leukemia	C42	1,800
Lung	C34	1,569
Lymphoma	C77	741
Melanoma	C44, C51, C60, C63	1,272
Other		4,324
Pancreatic	C25	151
Prostate	C61	2,313
Thyroid	C73	305

## F1 Scores

	CNN	RF
Micro F1	0.9128	0.8583
Macro F1	0.8941	0.8116

**Total: 22981**

## Confusion Matrix

908	0	1	4	7	3	0	0	0	16	0	8	0
1	4283	2	15	0	5	9	15	6	76	0	0	2
2	3	2648	22	2	4	12	1	6	88	0	0	0
0	9	23	1795	0	3	4	5	3	57	0	0	0
5	0	1	0	428	1	5	2	0	16	0	0	0
1	3	2	2	2	1704	2	31	9	41	0	2	1
0	9	6	2	2	6	1432	15	1	90	1	2	3
1	48	7	4	4	43	24	468	15	99	3	15	10
0	20	10	2	0	4	4	9	1133	84	0	4	2
36	140	133	82	32	39	122	101	100	3487	30	9	13
0	0	3	0	1	0	0	1	0	29	117	0	0
10	0	3	1	2	5	1	1	1	7	0	2281	1
0	0	0	0	0	0	1	5	1	4	0	0	294

$$\text{CNN}_{\text{output}} \geq \theta$$

Threshold	Support	PPV
0	22981	0.913
0.2	22978	0.913
0.4	22890	0.915
0.6	22082	0.930
0.8	20745	0.949
0.9	19589	0.961
<b>0.95</b>	<b>18346</b>	<b>0.971</b>
0.96	17941	0.973
0.97	17352	0.976
0.98	16493	0.981
0.99	14808	0.986

# Primary Cancer Site

BLADDER	PPV	94
	S	96
	F	95
BREAST	PPV	95
	S	97
	F	96
COLORECTAL	PPV	93
	S	95
	F	94
ENDOMETRIAL	PPV	93
	S	95
	F	94
KIDNEY	PPV	89
	S	93
	F	91
LEUKEMIA	PPV	94
	S	95
	F	94

LUNG	PPV	89
	S	91
	F	90
LYMPHOMA	PPV	72
	S	63
	F	67
MELANOMA	PPV	89
	S	89
	F	89
OTHER	PPV	85
	S	81
	F	83
PANCREATIC	PPV	77
	S	77
	F	77
PROSTATE	PPV	98
	S	99
	F	98
THYROID	PPV	90
	S	96
	F	93

# Laterality

Code	Description	# cases
0	Not a paired site	1,432
1	Right: origin of primary	2,036
2	Left: origin of primary	1,926
4	Bilateral	44
5	Paired site: midline tumor	12
9	Paired site, but no information	256

**Total: 5706**

## F1 Scores

	CNN	RF
Micro F1	0.8747	0.7625
Macro F1	0.5166	0.4460

## Confusion Matrix

1292	56	55	0	0	29
59	1876	91	0	0	10
55	113	1752	0	0	5
16	12	15	1	0	0
4	3	4	0	0	1
97	46	44	0	0	69

$$\text{CNN}_{\text{output}} \geq \theta$$

Threshold	SUPPORT	PPV
0	5705	0.875
0.2	5705	0.875
0.4	5612	0.885
0.6	5052	0.925
0.8	4505	0.953
0.9	4070	0.968
<b>0.95</b>	<b>3676</b>	<b>0.977</b>
0.96	3534	0.979
0.97	3322	0.983
0.98	2973	0.986
0.99	2206	0.991

# Laterality

NOT A PAIRED SITE	PPV		85
	S		90
	F		87
RIGHT:ORIGIN OF PRIMARY	PPV		89
	S		92
	F		91
LEFT: ORIGIN OF PRIMARY	PPV		89
	S		91
	F		90
BILATERAL	PPV		100
	S		2
	F		4
PAIRED SITE: MIDLINE TUMOR	PPV	*	
	S		0
	F	*	
PAIRED SITE, BUT NO INFORMATION	PPV		61
	S		27
	F		37

# Behavior

Code	Description	# cases
0	Benign	735
1	Borderline malignancy	158
2	In situ	1,000
3	Malignant	11,751
6	Only Malignant 2010+	112

**Total: 13756**

## F1 Scores

	CNN	RF
Micro F1	0.9264	0.8979
Macro F1	0.6574	0.5010

## Confusion Matrix

458	13	22	238	4
49	22	4	83	0
13	0	739	248	0
117	6	161	11450	15
3	1	2	57	49

$$\text{CNN}_{\text{output}} \geq \theta$$

Threshold	SUPPORT	PPV
0	13754	0.925
0.2	13754	0.925
0.4	13712	0.926
0.6	13149	0.942
0.8	12163	0.962
<b>0.9</b>	<b>11177</b>	<b>0.974</b>
0.95	10131	0.983
0.96	9743	0.984
0.97	9149	0.987
0.98	8236	0.989
0.99	6392	0.992

# Behavior

BENIGN	PPV	72
	S	62
	F	67
BORDERLINE MALIGNANCY	PPV	52
	S	14
	F	22
IN SITU	PPV	80
	S	74
	F	77
MALIGNANT	PPV	95
	S	97
	F	96
ONLY MALIGNANT 2010+	PPV	72
	S	44
	F	54

# Histology

**Total: 14173**

**73% of cases distributed among  
10 out of 87 classes**

Code	Description	# cases
8140	Adenocarcinoma	4,469
8500	Ductal Carcinoma	1,484
8070	Squamous Cell Carcinoma	949
8010	Carcinoma in situ	937
8000	Neoplasm, malignant	869
8720	Melanoma in situ	567
8120	Transitonal cell carcinoma	417
8312	Clear cell adenocarcinoma	291
9590	Malignant lymphoma	209
8130	Papillary trans. Cell carcinoma	192
Total 87 classes		

## F1 Scores

	CNN	RF
Micro F1	0.7922	0.6946
Macro F1	0.4893	0.3113

$$\text{CNN}_{\text{output}} \geq \theta$$

Threshold	SUPPORT	PPV
0	14173	0.792
0.2	13914	0.802
0.4	13023	0.833
0.6	11489	0.874
0.8	9733	0.911
0.9	8226	0.935
0.95	7063	0.951
0.96	6744	0.956
0.97	6320	0.961
0.98	5812	0.967
<b>0.99</b>	<b>4955</b>	<b>0.974</b>

# Histologic Grade

Code	Description	# cases
1	Well differentiated	220
2	Moderately differentiated	473
3	Poorly differentiated	367
4	undifferentiated	19
6	t-cell; t-precursor	50
9	Unknown	1,173

**Total: 2302**

## F1 Scores

	CNN	
Micro F1	0.8240	
Macro F1	0.5980	

## Confusion Matrix

163	24	12	0	0	21
16	385	22	0	1	49
4	40	272	0	0	51
0	1	6	0	0	12
1	0	0	0	14	35
19	44	38	0	9	1063

$CNN_{output} \geq \theta$

Threshold	SUPPORT	PPV
0	2302	0.824
0.2	2302	0.824
0.4	2248	0.835
0.6	1986	0.875
0.8	1618	0.916
0.9	1282	0.934
0.95	1008	0.947
0.96	931	0.951
0.97	830	0.955
0.98	682	0.965
<b>0.99</b>	<b>483</b>	<b>0.979</b>

# Histologic Grade

WELL DIFFERENTIATED	PPV		80
	S		74
	F		77
MODERATELY DIFFERENTIATED	PPV		78
	S		81
	F		91
POORLY DIFFERENTIATED	PPV		80
	S		74
	F		76
UNDIFFERENTIATED	PPV	X	
	S		0
	F	X	
T-CELL; T-PRECURSOR	PPV		58
	S		28
	F		38
UNKNOWN	PPV		86
	S		91
	F		88

# Training Requirements

- Training a single task CNN with 250,000 path reports requires ~23.9 hours on NVIDIA P100 GPU
- At least 350 trials to obtain optimal hyper-parameter set
- Approximately 1,750 machine days required to complete the 5 NLP tasks

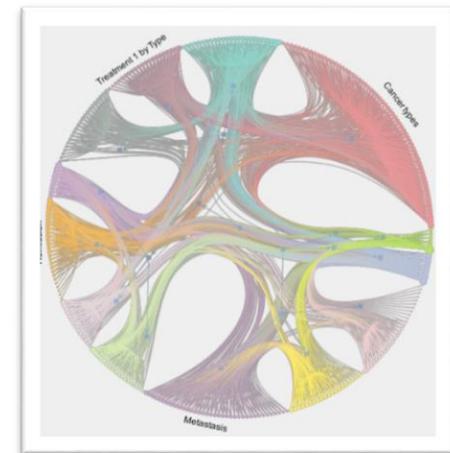
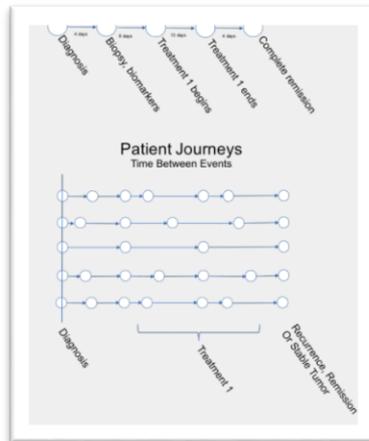
	Baseline	DGX-1	Amazon AWS Cloud	Titan	Summitdev
Platform Specs	1 x P100 GPU	8 x V100 GPU	P2, 16 nodes 8 x K80 GPU	18,688 nodes 1 x K20 GPU	4,600 nodes 6 x V100 GPU
Time	1,750 days	90.8 days	23.24 days	2.7 days	4.15 hours

# Summary & Conclusions

- **Deep learning for clinical NLP**
  - offers competitive and often state-of-the-art performance
  - CNNs are scalable and effective
  - HANs provide best performance but at the expense of scalability
  - Multi-task learning can exploit task relatedness and provide better results
- **Next steps with DL development**
  - Handling heavily imbalanced datasets
  - Multi-task learning with CNNs and HANs
  - Semi-supervised learning
- **Next steps with clinical translation**
  - Integrate DL NLP tools with prediction-level UQ
  - Address human factor engineering issues

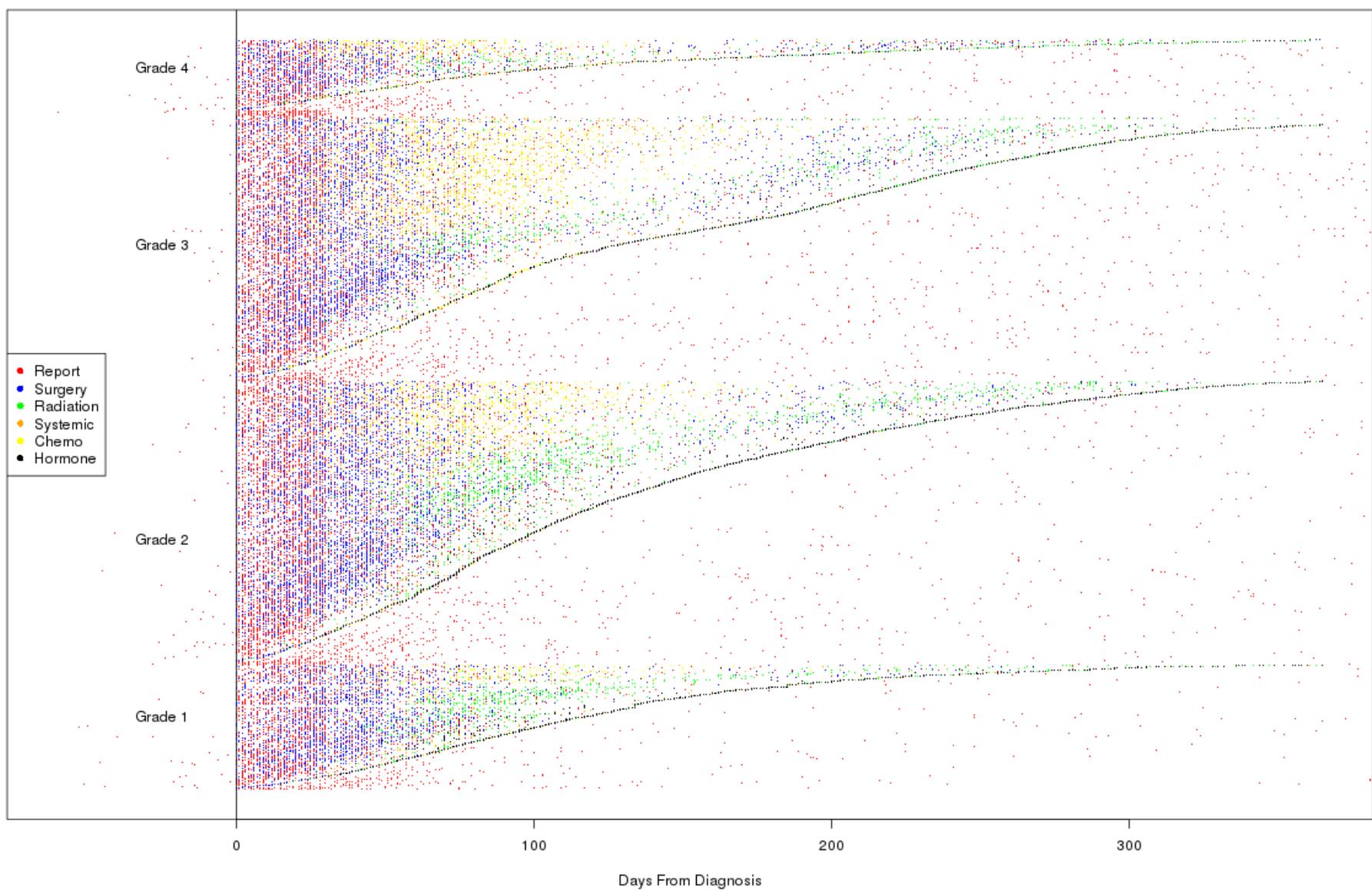
# Next Steps for Aims 2-3

- **STEP 1: Selection of Appropriate Data Sources**
  - Ensure feasibility (**Legal, IRB and logistic issues**) and relevance to aims
  - Research and methodological questions for each data package
- **STEP 2: Data Linkages and Analytics**
  - Standard operating procedures and infrastructure for data linkages
  - Data analytics and visualization
    - » Parallel coordinates and other multivariate longitudinal visualizations for patient trajectories
    - » Prototyping a scalable, parallel, flexible framework with support for R and python



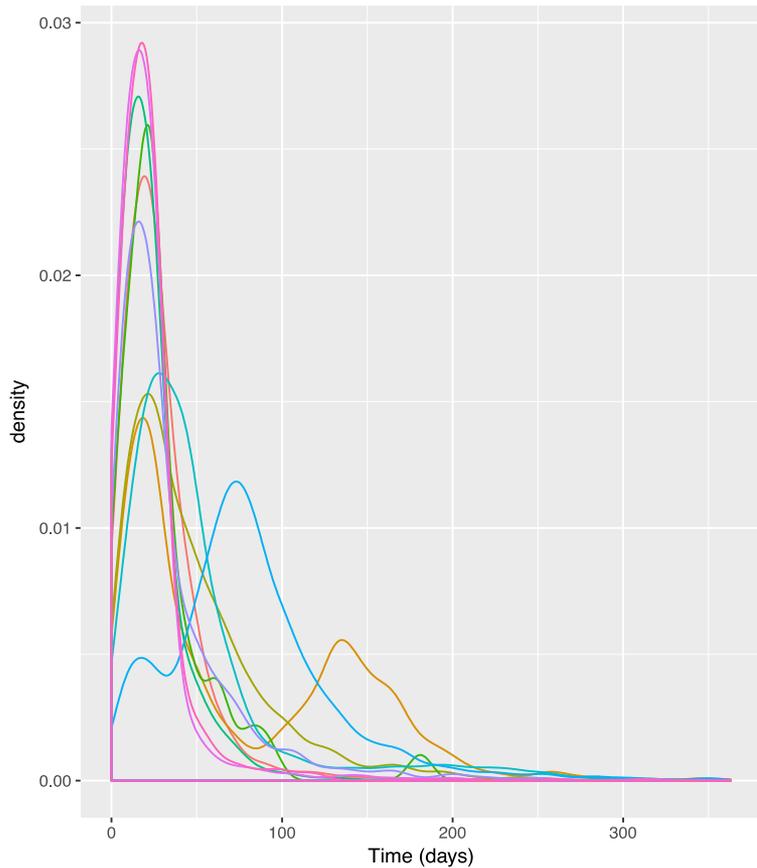
# Building Patient Trajectories

- What events happened to individual patients?
- What events happened across a population of patients?
- What do the (statistical) distributions look like across patients?
- What covariates (eg location, payor, sex, age, biomarkers, cancer characteristics) associated with the *most commonly used treatment regimes in a real world population?*
- Set the stage for analysis of individual and population outcomes



**~15,000 primary tumor trajectories for breast cancer, demonstrates variation meriting further analysis**

Distribution of Days from Diagnosis to Surgery

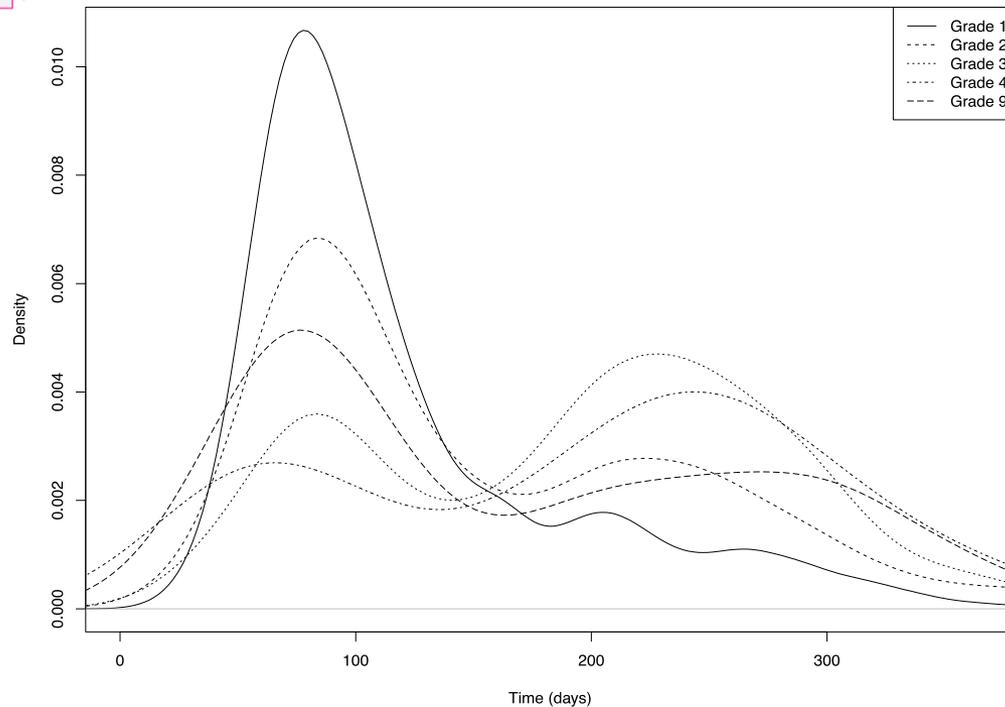


**Time to surgery varies by cancer type**

**Time to radiation varies by grade within cancer type**



Distribution of Days from Dx to Radiation for C50



# Scientific Outcomes since 10/2016

- **Peer-Reviewed Journal Publications:**

- J.X. Qiu, H.-Y. Yoon, P.A. Fearn, G.D. Tourassi, "Deep Learning for Automated Extraction of Primary Sites from Cancer Pathology Reports," IEEE Journal of Biomedical and Health Informatics [05/2017]
- S. Gao, M.T. Young, J.X. Qiu, J.B. Christian, P.A. Fearn, G.D. Tourassi, A. Ramanathan, "Hierarchical Attention Networks for Information Extraction from Cancer Pathology Reports," Journal of American Medical Informatics Association [*accepted 10/2017*].

- **Peer-Reviewed Conference Articles & Posters:**

- H.-J. Yoon, A. Ramanathan, G.D. Tourassi. "Multi-task Deep Neural Networks for Automated Extraction of Primary Site and Laterality Information from Cancer Pathology Reports." In INNS Conference on Big Data, pp. 195-204. Springer International Publishing, 2016.
- H.-J. Yoon, L.W. Roberts, G.D. Tourassi, Automated histologic grading from free-text pathology reports using graph-of-words features and machine learning. 2017 IEEE International Conference on Biomedical and Health Informatics, Orlando, Florida, February 16-19, 2017 [Available in IEEE Xplore 04/2017] .
- J. Boten, D. Rivera, M. Myneni, G.D. Tourassi, T. Bhattacharya, A.P. de Oliveira Sales, T. Brettin, P. Fearn, L. Penberthy, "Leveraging Large-Scale Computing for Population Information Integration," AMIA 2017 Annual Symposium, November 4-8, 2017, Washington, DC [*Accepted*].
- G. Abastillas, S. Morris, J. Boten, T. Tumurchudur, K. Vora, P. Fearn, "Characterizing a Learning Curve for Annotating Data for Training and Validation of Natural Language Processing Systems," AMIA 2017 Annual Symposium, November 4-8, 2017, Washington, DC [*Accepted*].

- **Invited Presentations:**

- L. Penberthy, G.D. Tourassi, "Population Information Integration, Analysis and Modeling", Computational Approaches for Cancer Workshop, Supercomputing 2016, Salt Lake City, UT, November 13, 2016.
- A. Ramanathan, "Exascale deep text comprehension tools for cancer surveillance", GPU Tech Conference (GTC), San Jose, May 2017.
- G.D. Tourassi, "Deep Learning Enabled National Cancer Surveillance to Support Precision Oncology", 21st Century Cures: Southeast Conference, Knoxville, TN, June 1, 2017.
- T. Bhattacharya, "Surveillance in an Era of Emerging Technology and Precision Medicine," NAACCR 2017 Annual Symposium, June 16-23, 2017, Albuquerque, NM.
- J. Boten, "The Development of the Clinical Document Annotation and Processing Pipeline to Facilitate the Integration of Natural Language Processing to Enhance Cancer Surveillance," NAACCR 2017 Annual Symposium, June 22, 2017, Albuquerque, NM.

- **Educational Outreach:**

- G.D. Tourassi, "Advanced Deep Learning for NLP", NCI NLP Workshop, Rockville, MD, December 8, 2016
- A. Ramanathan, "Building deep text comprehension tools for cancer surveillance", NCI-DOE Workshop on Cancer Deep Learning Environment (CANDLE), National Cancer Institute, Bethesda, MD, April 2017.

- **DUAs:** gain access to additional registries data and regular updates as new data arrives
- **Aim 1:**
  - ❖ Annotate pathology reports for breast cancer biomarkers & recurrence
  - ❖ Scale up annotation pipeline to up to 10,000 documents per month
  - ❖ Identify and prioritize other key biomarkers for inclusion in the annotation pipeline
  - ❖ Test and scale supervised and semi-supervised DL algorithms for automated extraction of 5 key variables (histology, laterality, behavior, grade and organ site) with uncertainty information for use by registries
- ❖ **Aim 2:**
  - ❖ Develop integrated data packages to provide initial resources for more comprehensive modeling of critical concepts (distant recurrence, response to initial and subsequent therapy) working with internal and external partners;
  - ❖ Incorporate detailed treatment data on a subset of the population for use in algorithms and modeling (e.g. recurrence and response to therapy)
  - ❖ Develop scalable visual and graph analytics to study the association between trajectory variations and health outcomes
- **Aim 3:**
  - ❖ Leverage Aims 1 and 2 targets (NLP captured data and linked data sets) to support development of recurrence modeling and modeling response to initial and subsequent therapies for selected cancer sites

THANK YOU!!!